

Jammu and Kashmir Bank Limited

Corporate Headquarters
M A Road, Srinagar 190001
Kashmir, India
CIN: L65110JK1938SGC000048

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Board Secretariat

Ref:-JKB/BS/F3652/2022/171
Date: 05th November, 2022

National Stock Exchange of India Limited
Exchange Plaza 5th Floor
Plot No. C/1 G-Block
Bandra Kurla Complex
Bandra (E) Mumbai - 400 051
Symbol: J&KBANK

The BSE Limited
Phiroze Jeejeebhoy Towers
Dalal street
Mumbai - 400 001
Scrip Code: 532209

SUB:- REGULATION 9 OF SEBI (PROHIBITION OF INSIDER TRADING) REGULATIONS, 2015

Dear Sirs,

Pursuant to Regulation 9 of SEBI (Prohibition of Insider Trading) Regulations, 2015, we are enclosing herewith an instance of violation of code of conduct on account of dealing in shares of Bank (sale) during window closure period.

This is for your information.

Thanking you

Yours faithfully
For Jammu and Kashmir Bank Limited

(Mohammad Shafi Mir)
Company Secretary



Report by Jammu and Kashmir Bank Limited for violation related to Code of Conduct under SEBI (Prohibition of Insider Trading) Regulations, 2015.

S No.	Particulars	Details
1	Name of the listed company/ Intermediary/Fiduciary	Jammu and Kashmir Bank Limited
2	Please tick appropriate checkbox Reporting in capacity of : <input type="checkbox"/> Listed Company <input type="checkbox"/> Intermediary <input type="checkbox"/> Fiduciary	Listed Company
3	A. Details of Designated Person (DP)	
	i. Name of the DP	Mujeeb U. Sajad Bhat
	ii. PAN of the DP	AXGPB0620G
	iii. Designation of DP	Manager
	iv. Functional Role of DP	Manager, Integrated Risk Management Department
	v. Whether DP is Promoter or belongs to Promoter Group	No
	B. If Reporting is for immediate relative of DP	
	i. Name of the immediate relative of DP	Not Applicable
	ii. PAN of the immediate relative of DP	
	C. Details of transaction(s)	
	i. Name of the scrip	NSE Symbol: J&KBANK BSE Scrip Code: 532209
	ii. No of shares traded and value (Rs.) (Date- wise)	1 share (face vale Re. 1) Traded Value Rs. 33.25 Date: October 21, 2022
	D. In case value of trade(s) is more than Rs.10 lacs in a calendar quarter	
	i. Date of intimation of trade(s) by concerned DP/director/promoter/promoter group to Company under regulation 7 of SEBI (PIT) Regulations, 2015	Not Applicable
	ii. Date of intimation of trade(s) by Company to stock exchanges under regulation 7 of SEBI (PIT) Regulations, 2015	Not Applicable
4	Details of violations observed under Code of Conduct	Dealing in shares of Bank (sale) during window closure period
5	Action taken by Listed company	Warning letter issued

Board Secretariat



S No.	Particulars	Details												
6	Reasons recorded in writing for taking action stated above	In view of lack of understanding of the Code of Conduct in place and keeping in view the miniscule number/value of shares traded, only warning letter was issued.												
7	Details of the previous instances of violations, if any, since last financial year	Nil												
8	If any amount collected for Code of Conduct violation(s)													
	i. Mode of transfer to SEBI - IPEF (Online/Demand Draft)	Not Applicable												
	ii. Details of transfer/payment In case of Online:	Not Applicable												
	<table border="1"> <thead> <tr> <th>Particulars</th> <th>Details</th> </tr> </thead> <tbody> <tr> <td>Name of the transferor</td> <td></td> </tr> <tr> <td>Bank Name, branch and Account number</td> <td></td> </tr> <tr> <td>UTR/Transaction reference Number</td> <td></td> </tr> <tr> <td>Transaction date</td> <td></td> </tr> <tr> <td>Transaction Amount (in Rs.)</td> <td></td> </tr> </tbody> </table>		Particulars	Details	Name of the transferor		Bank Name, branch and Account number		UTR/Transaction reference Number		Transaction date		Transaction Amount (in Rs.)	
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DD Number														
DD date														
DD amount (in Rs.)														
9	Any other relevant information	Nil												

Yours faithfully,

Mohammad Shafi Mir
Compliance Officer

PAN: ALKPM5652R

Email ID: shafi.mir@jkbmail.com

Date: Srinagar

Place: November 05, 2022