

Jammu and Kashmir Bank Limited

Corporate Headquarters
M A Road, Srinagar 190001
Kashmir, India
CIN: L65110JK1938SGC000048

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Board Secretariat

Ref:-JKB/BS/F3652/2023/281
Date: 27th February, 2023

National Stock Exchange of India Limited
Exchange Plaza 5th Floor
Plot No. C/1 G-Block
Bandra Kurla Complex
Bandra (E) Mumbai - 400 051
Symbol: J&KBANK

The BSE Limited
Phiroze Jeejeebhoy Towers
Dalal street
Mumbai - 400 001
Scrip Code: 532209

SUB:- REGULATION 9 OF SEBI (PROHIBITION OF INSIDER TRADING) REGULATIONS, 2015

Dear Sirs,

Pursuant to Regulation 9 of SEBI (Prohibition of Insider Trading) Regulations, 2015, we are enclosing herewith an instance of violation of code of conduct on account of dealing in shares of Bank during window closure period.

This is for your information.

Thanking you

Yours faithfully
For Jammu and Kashmir Bank Limited

(Mohammad Shafi Mir)
Company Secretary



Report by Jammu and Kashmir Bank Limited for violation related to Code of Conduct under SEBI (Prohibition of Insider Trading) Regulations, 2015.

S No.	Particulars	Details
1	Name of the listed company/ Intermediary/Fiduciary	Jammu and Kashmir Bank Limited
2	Please tick appropriate checkbox Reporting in capacity of : <input type="checkbox"/> Listed Company <input type="checkbox"/> Intermediary <input type="checkbox"/> Fiduciary	Listed Company
3	A. Details of Designated Person (DP)	
	i. Name of the DP	Sajad Hussain
	ii. PAN of the DP	AHVPM1436A
	iii. Designation of DP	Deputy General Manager
	iv. Functional Role of DP	Zonal Head
	v. Whether DP is Promoter or belongs to Promoter Group	No
	B. If Reporting is for immediate relative of DP	
	i. Name of the immediate relative of DP	Not Applicable
	ii. PAN of the immediate relative of DP	
	C. Details of transaction(s)	
	i. Name of the scrip	NSE Symbol: J&KBANK BSE Scrip Code: 532209
	ii. No of shares traded and value (Rs.) (Date- wise)	i. Sale of 2000 share (face value Re. 1) Traded Value Rs. 1,17,620.00 Date: January 16, 2023 ii. Sale of 2000 share (face value Re. 1) Traded Value Rs. 1,11,400.00 Date: January 17, 2023
	D. In case value of trade(s) is more than Rs.10 lacs in a calendar quarter	
i. Date of intimation of trade(s) by concerned DP/director/promoter/promoter group to Company under regulation 7 of SEBI (PIT) Regulations, 2015	Not Applicable	
ii. Date of intimation of trade(s) by Company to stock exchanges under regulation 7 of SEBI (PIT) Regulations, 2015	Not Applicable	
4	Details of violations observed under Code of Conduct	Dealing in shares of Bank (sale) during window closure period



Board Secretariat

S No.	Particulars	Details												
5	Action taken by Listed company	Penalty of Rs. 5,000/- imposed and Warning letter issued												
6	Reasons recorded in writing for taking action stated above	In view of the fact that the Designated Employee is presently posted in the operative field and the trade was made without malafide intentions, the warning letter has been issued. However, keeping in view the official status of the Designated Person and to ensure that no further contravention of code happens, a financial penalty has been imposed as well to serve as a deterrent to other Designated Employees from contravening the Code.												
7	Details of the previous instances of violations, if any, since last financial year	Nil												
8	If any amount collected for Code of Conduct violation(s)													
	i. Mode of transfer to SEBI - IPEF (Online/Demand Draft)	Online												
	ii. Details of transfer/payment In case of Online:	<table border="1"> <thead> <tr> <th>Particulars</th> <th>Details</th> </tr> </thead> <tbody> <tr> <td>Name of the transferor</td> <td>Sajad Hussain Malik</td> </tr> <tr> <td>Bank Name, branch and Account number</td> <td>Jammu and Kashmir Bank Limited, Branch: Apex, Account number: 1111010100000142</td> </tr> <tr> <td>UTR/Transaction reference Number</td> <td>JAKA230227650896</td> </tr> <tr> <td>Transaction date</td> <td>February 27, 2023</td> </tr> <tr> <td>Transaction Amount (in Rs.)</td> <td>5,000.00</td> </tr> </tbody> </table>	Particulars	Details	Name of the transferor	Sajad Hussain Malik	Bank Name, branch and Account number	Jammu and Kashmir Bank Limited, Branch: Apex, Account number: 1111010100000142	UTR/Transaction reference Number	JAKA230227650896	Transaction date	February 27, 2023	Transaction Amount (in Rs.)	5,000.00
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UTR/Transaction reference Number	JAKA230227650896													
Transaction date	February 27, 2023													
Transaction Amount (in Rs.)	5,000.00													
9	Any other relevant information	Nil												

Yours faithfully,

Sd/-

Mohammad Shafi Mir
Compliance Officer

PAN: ALKPM5652R

Email ID: shafi.mir@jkbmail.com

Date: Srinagar

Place: February 27, 2023